

Office of Performance Evaluations

Analyst: Headlee

Historical Summary

OPERATING BUDGET	FY 2019 Total App	FY 2019 Actual	FY 2020 Approp	FY 2021 Request	FY 2021 Gov Rec
BY FUND CATEGORY					
General	899,500	877,200	952,100	973,700	959,700
Percent Change:		(2.5%)	8.5%	2.3%	0.8%
BY OBJECT OF EXPENDITURE					
Personnel Costs	838,100	801,500	881,600	906,100	892,000
Operating Expenditures	59,100	71,400	67,700	67,600	67,700
Capital Outlay	2,300	4,300	2,800	0	0
Total:	899,500	877,200	952,100	973,700	959,700
Full-Time Positions (FTP)	8.00	8.00	8.00	8.00	8.00

Division Description

The Office of Performance Evaluations (OPE) is a nonpartisan legislative office that promotes accountability and confidence in state government (Sections 67-457 through 67-464, Idaho Code). OPE staff works under the general direction of the Joint Legislative Oversight Committee (JLOC), which consists of an equal number of legislators from both political parties and both houses.

The office conducts independent, objective, and in-depth performance evaluations of state agencies, programs, and functions. OPE staff report evaluation results and recommendations to:

- JLOC and other legislative committees to assist them in making policy and budgetary decisions; and
- State agencies to help them improve their operational efficiency and program effectiveness.

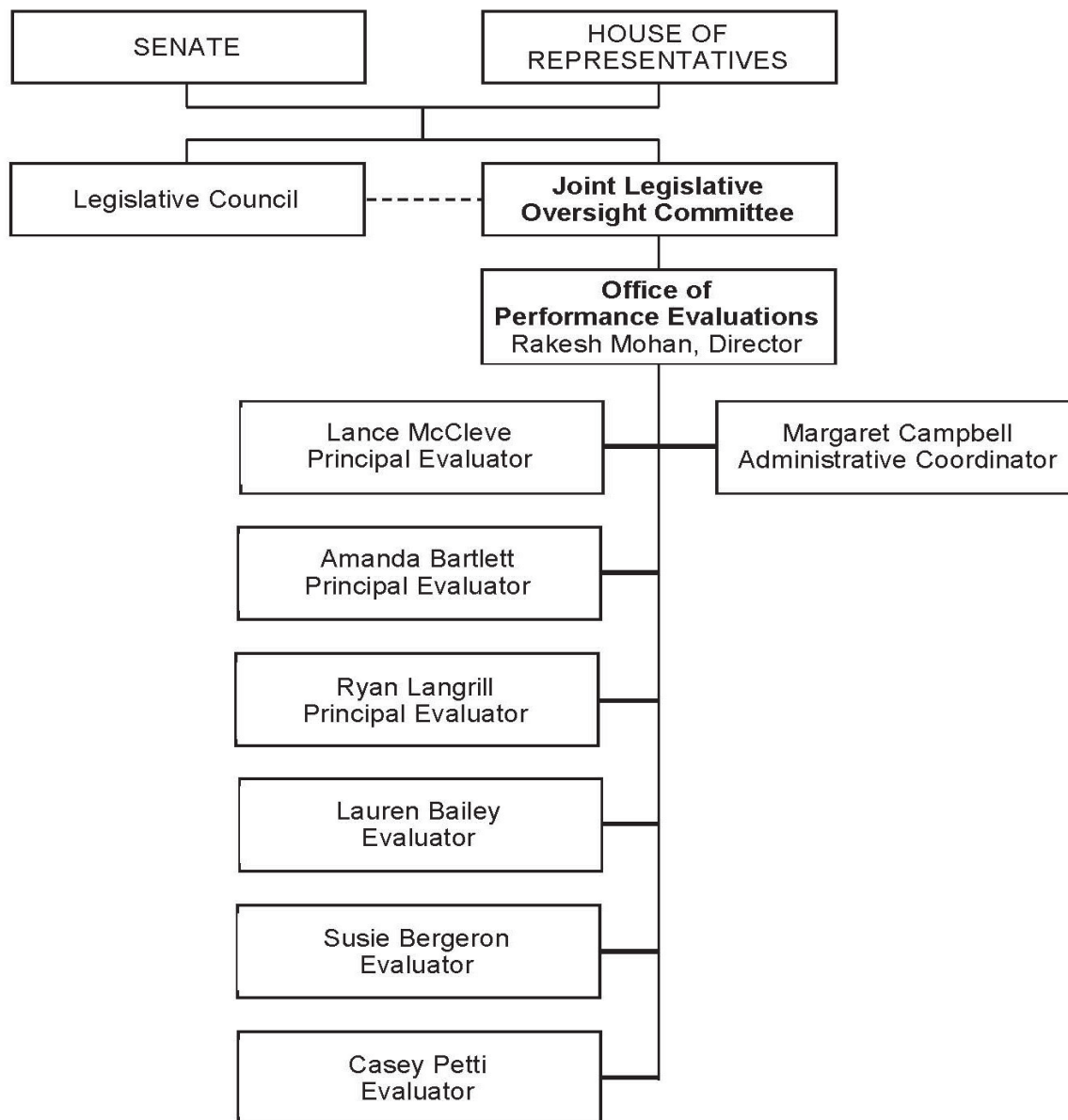
OPE evaluations help:

- Ensure compliance with state laws and legislative intent;
- Improve government performance and accountability to the public; and
- Identify cost savings and opportunities to avoid unnecessary future costs.

Section 67-3506, Idaho Code, states that the Governor shall transmit the budget requests of the legislative and judicial departments to the Legislature as they were submitted by the departments.

Office of Performance Evaluations Organizational Chart

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8.00 Authorized FTP
0.00 Vacant FTP:
(as of November 2019)

Performance Report can be found at:
<https://dfm.idaho.gov/publications/bb/perfreport/>

Part I – Agency Profile

Agency Overview

The Office of Performance Evaluations (OPE), created in 1994, is a nonpartisan, independent office that serves the Legislature's information needs by conducting performance evaluations of state agencies and programs. The mission of OPE is to promote confidence and accountability in state government through these evaluations. Findings, conclusions, and recommendations from OPE evaluations are used by the Legislature to make policy and budget decisions and by agencies to improve performance.

Performance evaluations include assessing whether

- agencies or programs are complying with applicable laws and legislative intent;
- services are provided efficiently and cost-effectively; and
- programs and services are achieving intended results.

OPE works under the direction of the bipartisan Joint Legislative Oversight Committee (JLOC). The office is authorized eight full-time equivalent positions.

Core Functions/Idaho Code

Authorizing statutes: Idaho Code §§ 67-457 through 67-464

1. Conduct performance evaluations and report each evaluation to JLOC
2. Make recommendations to agencies for program improvements
3. Provide useful recommendations to assist the Legislature in making policy and budget decisions
4. Be responsive to the Legislature's information needs

Revenue and Expenditures

Revenue	FY 2016	FY 2017	FY 2018	FY 2019
General Fund	\$818,800	\$889,200	\$886,200	\$899,500
Dedicated	0	0	0	0
Total	\$818,800	\$889,200	\$886,200	\$899,500
Expenditures	FY 2016	FY 2017	FY 2018	FY 2019
Personnel Costs	\$683,129	\$788,925	\$821,736	\$801,529
Operating Expenditures*	108,973	116,665	\$102,659	\$71,426
Capital Outlay	5,760	9,842	0	\$4,315
Trustee/Benefit Payments	0	0	0	0
Total	\$797,862	\$915,432	\$924,395	\$877,270

* Some operating expenditures were appropriated in prior fiscal year.

Profile of Cases Managed and/or Key Services Provided

Cases Managed and/or Key Services Provided	FY 2016	FY 2017	FY 2018	FY 2019
Number of performance evaluation projects completed	3	3	3	4
Number of follow-up reviews for previous evaluations completed	5	2	0	2

The OPE workload depends on the number and nature of projects assigned each year by JLOC. Completed reports may be accessed at <https://legislature.idaho.gov/ope/reports/>.

Red Tape Reduction Act

Each agency shall incorporate into its strategic plan a summary of how it will implement the Red Tape Reduction Act, including any associated goals, objectives, tasks, or performance targets. This information may be included as an addendum.

	As of July 1, 2019
Number of Chapters	N/A
Number of Words	N/A
Number of Restrictions	N/A

OPE does not have any administrative rules.

FY 2019 Performance Highlights

OPE released the following evaluation and follow-up reports in fiscal year 2019:

- Pardons and Parole: Program Improvements and Statutory Changes
- Southwest Idaho Treatment Center
- Impact of State Mandates on County Governments
- Court-Ordered Fines and Fees
- Design of the Idaho Behavioral Health Program follow-up review
- Residential Care limited follow-up review

OPE received two awards during the fiscal year:

- 2019 Impact Award of the National Conference of State Legislatures, National Legislative Program Evaluation Society, for the report *Residential Care*.
- 2019 Notable Document Awards of the National Conference of State Legislatures, Legislative Research Librarians, for the report *Impact of State Mandates on County Governments*.

Part II – Performance Measures

Performance Measure		FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
Goal 1						
Provide useful recommendations to assist the Legislature in making policy and budget decisions.						
1. Performance is measured by the number of bills and resolutions introduced or enacted in response to OPE recommendations.	actual	4	7	9	8	-----
	target	n/a	n/a	n/a	n/a	n/a
Goal 2						
Respond to the Legislature's information needs.						
2. Performance is measured by the number of "24-hour" limited reviews completed.	actual	2	1	1	1	-----
	target	n/a	n/a	n/a	n/a	n/a
3. Performance is measured by the number of evaluation-related presentations made to the Legislature (does not include presentations to JLOC).	actual	7	12	5	7	-----
	target	n/a	n/a	n/a	n/a	n/a
Goal 3						
Promote confidence and accountability in state government through education and outreach to interested stakeholders and participation in professional associations.						
4. Performance is measured by the number of evaluation-related presentations made outside of the Legislature.	actual	8	15	19	11	-----
	target	n/a	n/a	n/a	n/a	n/a

Performance Measure Explanatory Notes

Bills enacted

- House Bill 151 provided clarification on licensing fees associated with food establishments and gradually increases food licensing fees for public health inspections. In the 2015 report *Distribution of State General Fund Dollars to Public Health Districts*, OPE found that regulatory, fee-based programs are subsidized to varying degrees by state general fund dollars and county funds because the revenue generated by fees do not cover the cost of these programs, specifically licensing and inspection fees for food establishments. OPE recommended the Legislature consider developing a separate funding mechanism to make regulatory, fee-based programs administered by districts more self-supporting, which may include increasing regulatory fees.
- Senate Bill 1096 authorized assisted living facilities to be accredited by a third party and forego their state relicensing survey. The bill's statement of purpose noted that the OPE report *Residential Care* "identified the potential need for licensing fees to keep up with growing number of assisted living facilities." This bill, an alternative to licensing fees, resulted from a workgroup to address our recommendation. By allowing accreditation from a third-party, the bill may lead to a decrease in workload for the state survey team.

24-hour reviews

OPE staff conducted one background review on the minimum wage.

Legislative presentations

Staff made seven presentations to legislative committees, not including presentations to JLOC:

- Three presentations on performance evaluation measures to the Senate and House Agricultural Affairs, and House Business committees.
- Two presentations to the Senate and House Health and Welfare committees on the *Southwest Idaho Treatment Center* report.
- One presentation to the Child Protection Legislative Oversight committee summarizing three reports OPE completed on child protection.
- One presentation to the House Local Government committee on the report *Impact of State Mandates on County Governments*.

Presentations made outside the legislature

Staff made 19 presentations outside of the legislature to the following organizations: American Evaluation Association, American Society for Public Administration, Idaho Council on Developmental Disabilities, Idaho Association of Counties, Southwest Idaho Treatment Center Advisory Board, Eastern Evaluation Research Society, Governor's Task Force on Children at Risk, Cornell University's Institute for Public Affairs, and the Regional Parliamentarians Forum in the Kyrgyz Republic.

For More Information Contact

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FY 2019 Actual Expenditures by Division

			FTP	PC	OE	CO	T/B	LS	Total
0.30	FY 2019 Original Appropriation								
0001-00	Gen		8.00	838,100	59,100	2,300	0	0	899,500
Totals:			8.00	838,100	59,100	2,300	0	0	899,500
1.00	FY 2019 Total Appropriation								
0001-00	Gen		8.00	838,100	59,100	2,300	0	0	899,500
Totals:			8.00	838,100	59,100	2,300	0	0	899,500
1.21	Net Object Transfer								
0001-00	Gen		0.00	(20,000)	18,000	2,000	0	0	0
Totals:			0.00	(20,000)	18,000	2,000	0	0	0
1.61	Reverted Appropriation								
0001-00	Gen		0.00	(16,600)	(5,700)	0	0	0	(22,300)
Totals:			0.00	(16,600)	(5,700)	0	0	0	(22,300)
2.00	FY 2019 Actual Expenditures								
0001-00	Gen		8.00	801,500	71,400	4,300	0	0	877,200
	General			801,500	71,400	4,300	0	0	877,200
Totals:			8.00	801,500	71,400	4,300	0	0	877,200
Difference: Actual Expenditures minus Total Appropriation									
0001-00	Gen			(36,600)	12,300	2,000	0	0	(22,300)
	General			(4.4%)	20.8%	87.0%	N/A	N/A	(2.5%)
Difference From Total Approp				(36,600)	12,300	2,000	0	0	(22,300)
Percent Diff From Total Approp				(4.4%)	20.8%	87.0%	N/A	N/A	(2.5%)

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Comparative Summary

Decision Unit	Agency Request			Governor's Rec		
	FTP	General	Total	FTP	General	Total
FY 2020 Original Appropriation	8.00	952,100	952,100	8.00	952,100	952,100
Sick Leave Rate Reduction	0.00	0	0	0.00	(2,100)	(2,100)
1% General Fund Reduction	0.00	0	0	0.00	(9,500)	(9,500)
FY 2020 Total Appropriation	8.00	952,100	952,100	8.00	940,500	940,500
Removal of Onetime Expenditures	0.00	(2,800)	(2,800)	0.00	(2,800)	(2,800)
Restore Ongoing Rescissions	0.00	0	0	0.00	11,600	11,600
FY 2021 Base	8.00	949,300	949,300	8.00	949,300	949,300
Benefit Costs	0.00	16,600	16,600	0.00	(5,100)	(5,100)
Statewide Cost Allocation	0.00	(100)	(100)	0.00	(100)	(100)
Change in Employee Compensation	0.00	7,900	7,900	0.00	15,500	15,500
FY 2021 Program Maintenance	8.00	973,700	973,700	8.00	959,600	959,600
OITS 1 Operating Costs	0.00	0	0	0.00	100	100
FY 2021 Total	8.00	973,700	973,700	8.00	959,700	959,700
Change from Original Appropriation	0.00	21,600	21,600	0.00	7,600	7,600
% Change from Original Appropriation		2.3%	2.3%		0.8%	0.8%

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
FY 2020 Original Appropriation					
The Legislature funded three line items that included \$17,800 for ongoing personnel costs for employee retention; \$5,600 ongoing for a subscription to LexisNexis for research resources; and \$2,600 for software maintenance costs.					
	8.00	952,100	0	0	952,100
Sick Leave Rate Reduction					
Agency Request	0.00	0	0	0	0
The Governor recommends a six-month reduction of funding for employers who contribute to the PERSI-managed sick leave plan. This reduction will begin to draw down the reserve balance, which has grown significantly during the past several years.					
Governor's Recommendation	0.00	(2,100)	0	0	(2,100)
1% General Fund Reduction					
Agency Request	0.00	0	0	0	0
The Governor recommends a onetime 1% General Fund rescission.					
Governor's Recommendation	0.00	(9,500)	0	0	(9,500)
FY 2020 Total Appropriation					
Agency Request	8.00	952,100	0	0	952,100
Governor's Recommendation	8.00	940,500	0	0	940,500
Removal of Onetime Expenditures					
Removes onetime costs for IT equipment and resources.					
Agency Request	0.00	(2,800)	0	0	(2,800)
Governor's Recommendation	0.00	(2,800)	0	0	(2,800)
Restore Ongoing Rescissions					
Agency Request	0.00	0	0	0	0
The Governor recommends restoration of the 1% General Fund rescission and sick leave rate reduction.					
Governor's Recommendation	0.00	11,600	0	0	11,600
FY 2021 Base					
Agency Request	8.00	949,300	0	0	949,300
Governor's Recommendation	8.00	949,300	0	0	949,300
Benefit Costs					
Employer-paid benefit changes include an 18.9% increase (or \$2,200 per eligible FTP) for health insurance, bringing the total appropriation to \$13,850 per FTP. Also included are a one-year elimination of the unemployment insurance rate, a restoration of the Division of Human Resources rate, and adjustments to workers' compensation that vary by agency.					
Agency Request	0.00	16,600	0	0	16,600
The Governor recommends no increase for health insurance due to fewer claims than expected and changes to federal tax policies; a one-year elimination of the sick leave rate and the unemployment insurance rate; restoration of the Division of Human Resources rate; and adjustments for workers' compensation rates.					
Governor's Recommendation	0.00	(5,100)	0	0	(5,100)
Statewide Cost Allocation					
This request includes adjustments to recover the cost of services provided by other agencies in accordance with federal and state guidelines on cost allocation. Risk management costs will decrease by \$300 and State Controller fees will increase by \$200 for net reduction of \$100.					
Agency Request	0.00	(100)	0	0	(100)
Governor's Recommendation	0.00	(100)	0	0	(100)

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
Change in Employee Compensation					
For calculation purposes, agencies were directed to include the cost of a 1% salary increase for permanent and temporary employees.					
Agency Request	0.00	7,900	0	0	7,900
<i>The Governor recommends a 2% increase in employee compensation, distributed on merit. He does not recommend a compensation increase for group and temporary positions.</i>					
Governor's Recommendation	0.00	15,500	0	0	15,500
FY 2021 Program Maintenance					
Agency Request	8.00	973,700	0	0	973,700
Governor's Recommendation	8.00	959,600	0	0	959,600
OITS 1 Operating Costs					
Agency Request	0.00	0	0	0	0
<i>The Governor recommends this agency's share of ongoing funding to pay the Office of Information Technology Services for security software and data center office space located at the Chinden Campus.</i>					
Governor's Recommendation	0.00	100	0	0	100
FY 2021 Total					
Agency Request	8.00	973,700	0	0	973,700
Governor's Recommendation	8.00	959,700	0	0	959,700
Agency Request					
Change from Original App	0.00	21,600	0	0	21,600
% Change from Original App	0.0%	2.3%			2.3%
<i>Governor's Recommendation</i>					
Change from Original App	0.00	7,600	0	0	7,600
% Change from Original App	0.0%	0.8%			0.8%